

Dynamic pulmonary hyperinflation and low grade systemic inflammation in stable COPD patients

D. GATTA, G. ALIPRANDI*, L. PINI, A. ZANARDINI*, M. FREDI*, C. TANTUCCI

Unit of Respiratory Medicine, Department of Medical and Surgical Sciences, University of Brescia, Brescia (Italy)

*Unit of Pulmonary Rehabilitation, Hospital "Domus Salutis" Brescia (Italy)

Abstract. – Background and Objectives: It is increasingly recognized that a low grade of systemic inflammation occurs in patients with advanced chronic obstructive pulmonary disease (COPD). C-reactive protein (CRP), a marker of systemic chronic inflammatory response, has been related with decreased survival in large cohorts of COPD patients.

The aim of the study was to assess if resting dynamic pulmonary hyperinflation (DH) is linked to the presence of systemic inflammation in COPD.

Materials and Methods: In a 12-month retrospective study involving 55 out-patients with COPD (FEV₁ 59±23% pred.) examined in stable conditions, inspiratory capacity (IC) was measured at rest and considered as index of DH when lower than 80% predicted. Simultaneously, CRP (by immuno-turbidometry) and white blood cells (WBC), uric acid and alpha-1 globulins were measured in the venous blood in the morning before eating.

Results: CRP was significantly increased in the COPD patients with IC <80% pred. (n=35; IC= 61±14% pred.) as compared with that measured in COPD patients with IC >80% pred. (n=20; IC = 97±13% pred.), amounting to 0.70±0.59 vs 0.29±0.28 mg/dl, respectively (p<0.01). CRP was inversely related to IC (% pred.) (r=0.45, p<0.01). WBC, serum uric acid (an endogenous danger signal), and albumin and alpha-1 globulins were not different between the two groups.

Discussion: These results show that the IC reduction is associated with higher serum levels of CRP in stable COPD patients, suggesting a potential role of dynamic pulmonary hyperinflation on development and maintenance of low grade systemic inflammation in COPD.

Key Words:

COPD, Inspiratory capacity, Dynamic hyperinflation, C-reactive protein.

Introduction

Patients with advanced chronic obstructive pulmonary disease (COPD) very often suffer from extra-pulmonary (also called systemic) effects and, among others such as high oxidative stress, hypoxemia, malnutrition, reduced physical activity and drugs, low grade of chronic systemic inflammation has been implicated as potential underlying mechanism. Stable COPD patients exhibit enhanced activation of peripheral inflammatory cells such as neutrophils and lymphocytes^{1,2} and have high baseline levels of several circulating inflammatory markers such as interleukin (IL)-6, IL-8, C-reactive protein (CRP), tumor necrosis factor (TNF)-alpha, TNF-alpha-receptors, FAS and FAS ligand as compared to controls³.

Among various biomarkers of the systemic inflammatory status in COPD, serum CRP has been found significantly associated and inversely related with survival in large cohorts of COPD patients^{4,5}.

Although the presence and severity of systemic inflammation is now recognized as predictor of worse outcome in COPD patients⁶, it is not entirely clear yet how systemic inflammation can develop in COPD. Ageing, smoking, spill-over of airway and lung inflammation, auto-immunity, respiratory and limb skeletal muscle abnormal activity have been proposed as factors or co-factors able to induce and maintain a low grade of systemic inflammation in COPD⁷⁻¹³.

Moderate-to-severe airflow obstruction in COPD is often associated with the occurrence of dynamic pulmonary hyperinflation (DH) initially only during exercise and then at rest with the end-expiratory lung volume (EELV) that is increased and the inspiratory capacity (IC) that is decreased¹⁴. Recently, the IC reduction below

80% of predicted at rest has been independently related to all and respiratory cause mortality in COPD¹⁵. Presumptive mechanisms could be less capacity of exercising¹⁶, higher risk of exacerbations¹⁵, greater autonomic imbalance¹⁷ and more severe oxyhemoglobin desaturation during sleep¹⁸. In this context, another potential mechanism might be the development of pulmonary and systemic inflammation following the mechanical stress imposed by DH on lung structure and inspiratory muscles¹⁹, but the potential relationship between DH and systemic inflammation in COPD has not been investigated.

The aim of the study was to assess if resting dynamic pulmonary hyperinflation, as defined by the presence of IC less than 80% predicted at rest, is associated to low grade systemic inflammation in stable COPD patients.

Materials and Methods

In a 12-month retrospective study, from February 2009 to January 2010, 55 COPD patients defined according to the presence of known risk factors, with a forced expiratory volume in one second/forced vital capacity (FEV₁/FVC ratio) less than 70% and an increase of FEV₁ less than 10% of predicted, and 200 ml after 400 mcg of inhaled albuterol [MDI (metered-dose inhaler) plus spacer], recruited through the Unit of Pulmonary Rehabilitation, Hospital "Domus Salutis" Brescia, Italy, were consecutively investigated.

None of the patients had suffered from an exacerbation or acute pulmonary complications in the previous 8 weeks and none had assumed systemic corticosteroids in the last 3 months. All patients had withdrawn long-acting bronchodilators, inhaled corticosteroids and theophylline for at least 48 hr before the functional assessment. All of them had pulmonary function testing (PFT) with determination of IC and lung volumes (Medical Graphics, St. Paul, MN, USA), measurements of maximal voluntary inspiratory (MIP) and expiratory (MEP) pressures and their Charlson Comorbidity index (CCI) was calculated²⁰. Before performing PFT, a venous blood sample was collected in all these patients before eating in the morning of the same day to measure serum C-reactive protein (by immuno-turbidimetric method, with an upper normal limit equal to 0.5 mg/dl and a coefficient of variation of 6.2% in our laboratory) and serum uric acid, as expression of danger sig-

nal (ILab 600 analyzer, Shimadzu Corp., Kyoto, Japan). Also white blood cells (WBC) (Coulter Hmx analyser, Beckman Coulter Inc., Miami, FL, USA) and serum levels of albumin and alpha-1 globulins (Hydrasys analyser, Sebia, Paris, France) were measured on the same occasion. The predicted values for IC were those proposed by Tantucci et al²¹. The study was approved by the local Ethics Committee and any patient gave a written informed consent to the treatment of data for research purposes.

Statistical Analysis

Variables were compared between COPD patients with IC $\geq 80\%$ pred. and with IC $< 80\%$ pred. by using a Student's t-test for unpaired data. Chi-square test was used for comparing categorical variables. Linear correlation analysis was performed between numerical variables of interest with determination of the Pearson's correlation coefficient. A *p* value lower than 0.05 was considered as significant. Statistical analysis was performed with STATISTICA data analysis system (Statsoft Inc., Tulsa, OK, USA). Data are expressed as mean \pm SD. *P* value < 0.05 was considered as statistically significant.

Results

The anthropometrical data and respiratory functional parameters are shown in Table I for all patients and for the two subgroups according to the IC value. COPD patients with IC $< 80\%$ pred. had CRP serum values higher than those with IC $\geq 80\%$ pred. (Figure 1 and Table II). Very few COPD patients with IC $\geq 80\%$ pred. had CRP > 0.5 mg/dl, while the vast majority of high values of CRP was observed in COPD patients with IC $< 80\%$ pred. Only 43% of COPD patients with IC $< 80\%$ pred., however, exhibited abnormal CRP serum values (Figure 2). A significant relationship was observed between IC (as % pred.) and CRP serum levels ($r=0.45$; $p_s < 0.01$), explaining 20% of variance of CRP in COPD patients ($r^2 = 0.20$) (Figure 2).

The relationship between FEV₁ (% pred.) and CRP serum levels was much weaker ($r=0.31$; $p < 0.05$), and only COPD patients with FEV₁ $< 60\%$ pred. had abnormal CRP.

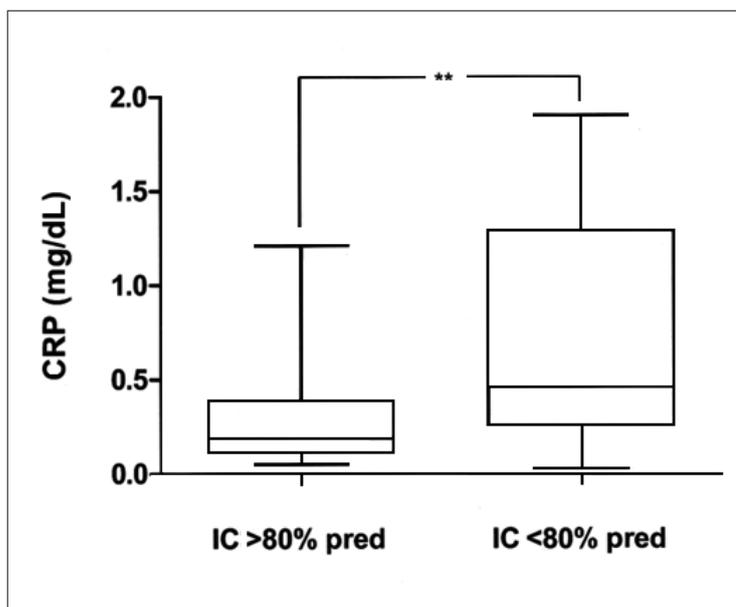
No differences were found between COPD patients with and without resting DH for WBC, uric acid and albumin and alpha-1 globulins (Table II).

Table I. Anthropometric and functional parameters of the COPD patients.

	All	IC \geq 80% pred.	IC < 80% pred.	<i>p</i>
n.	55	20	35	
Age (yr)	66 \pm 10	65 \pm 11	66 \pm 10	ns
Gender (M/F)	37/18	14/6	23/12	/
BMI (Kg/m ²)	27 \pm 5	26 \pm 4	28 \pm 5	ns
Smoking habit (p/y)	34 \pm 8	33 \pm 7	35 \pm 8	ns
FEV ₁ (% pred)	58 \pm 23	66 \pm 25	54 \pm 21	ns
FEV ₁ /FVC %	51 \pm 16	50 \pm 18	51 \pm 16	ns
IC (% pred)	74 \pm 21	97 \pm 13	62 \pm 14	0.0001
RV (% pred)	124 \pm 50	124 \pm 44	123 \pm 52	ns
TLC (% pred)	101 \pm 23	110 \pm 18	95 \pm 24	ns
MIP (cmH ₂ O)	80 \pm 24	88 \pm 25	76 \pm 23	ns
MEP(cmH ₂ O)	124 \pm 42	117 \pm 37	128 \pm 45	ns
CCI (unit)	3.13 \pm 0.9	2.95 \pm 0.76	3.17 \pm 0.98	ns

CCI = Charlson Comorbidity Index; see abbreviations in the text. Data are mean \pm SD.

Figure 1. CRP serum values in stable COPD patients with IC \geq 80% predicted and with IC < 80% predicted. COPD patients with IC < 80% predicted, show significantly higher values of CRP. ** = *p* < 0.01.

**Table II.** Systemic Inflammatory and metabolic parameters in the COPD patients.

	All	IC > 80% pred.	IC < 80% pred.	<i>p</i>
n.	55	20	35	
CRP (mg/dL)	0.55 \pm 0.54	0.29 \pm 0.28	0.70 \pm 0.59	0.01
Uric acid (mg/dL)	5.77 \pm 1.43	5.97 \pm 1.12	5.68 \pm 1.84	ns
WBC (\times 1000/ μ l)	6.46 \pm 1.23	6.37 \pm 1.25	6.51 \pm 1.24	ns
Albumin (g/dL)	4.37 \pm 0.29	4.40 \pm 0.31	4.35 \pm 0.29	ns
α -1 globulins (g/dL)	0.21 \pm 0.04	0.20 \pm 0.04	0.21 \pm 0.03	ns

CRP = C-reactive protein; WBC = white blood cells.

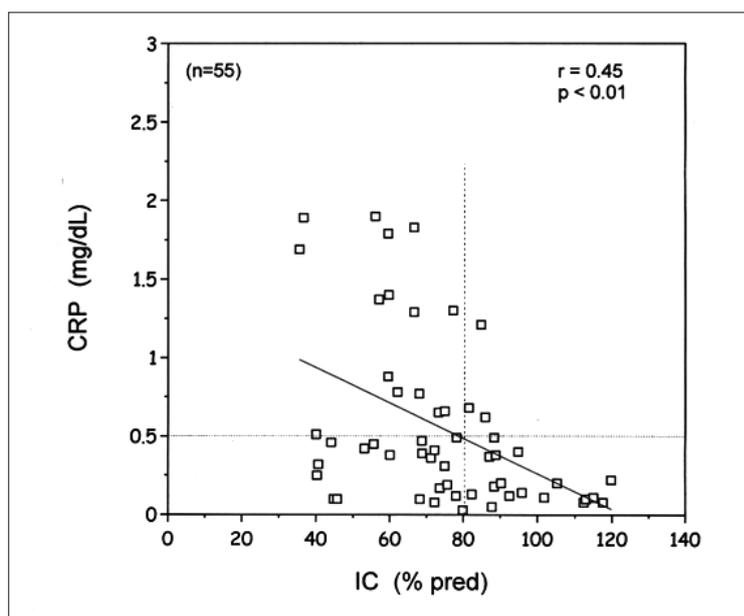


Figure 2. Relationship between CRP serum levels and resting IC (as % predicted) in stable COPD patients. CPR increases with decreasing IC at rest, but its values are elevated almost exclusively in COPD patients with IC lower than 80% predicted. In dynamically hyperinflated COPD patients, however, only about 50% of them exhibit abnormally high values of CRP.

Discussion

The information arising from this study is that in stable COPD patients a decreased baseline IC is frequently associated with an increase of serum CRP suggesting a potential relationship between resting DH and development of systemic inflammation in advanced COPD. This may contribute to explain a lower life expectancy in COPD patients with low IC at rest.

COPD patients with baseline IC lower than 80% pred. have been shown to survive significantly less

in a 5-yr follow-up study¹⁵. In fact, both all cause and respiratory cause mortality was substantially higher in the presence of resting DH. Several could be the potential predisposing mechanisms for such a worse prognosis. Greater number of acute severe exacerbation requiring hospitalisation in COPD patients with IC <80% pred.¹⁵ that is a well documented risk factor for mortality in COPD²², a greater autonomic unbalance that recently has been shown independently related to mortality in a large cohort of COPD patients¹⁷ and possibly a more severe oxyhemoglobin desaturation during sleep¹⁸.

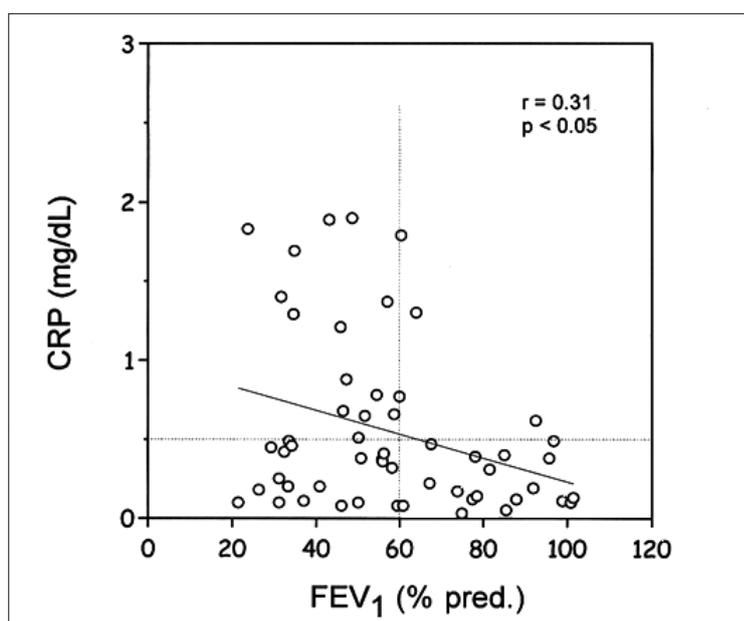


Figure 3. Relationship between CRP plasma level and FEV₁ (as % predicted). The correlation is weaker than that with IC. Only COPD patients with FEV₁ lower than 60% predicted, however, have elevated values of CRP.

Moreover, it has been elegantly demonstrated that COPD patients with low IC at rest exhibit lower exercise capacity essentially caused by mechanical constraints¹⁶ that are known to induce intolerable dyspnea²³, and possibly by decreased cardiac function²⁴. It is widely recognized, indeed, that poor or limited physical activity represents the most important risk factor for all cause mortality in every chronic disease included COPD²⁵ and that indices of physical performance predict all cause mortality in COPD patients^{26,27}.

Since the presence and severity of systemic inflammation, as inferred by the abnormal blood levels of various bio-markers and particularly of CRP, has been related to higher risk of mortality in COPD⁵, the association of high values of plasma CRP and low IC at rest in our series of stable COPD patients strongly suggest another link between resting DH and greater risk of mortality in COPD.

Evidence exists supporting the possibility that inhomogeneous mechanical strain of the some regions of the lung can exert a pro-inflammatory stimulus by stretching alveolar epithelial cells that produce numerous inflammatory mediators such as IL-1beta, IL-8, IL-6 and TNF α and TNF α soluble receptors^{28,29}. It is reasonable throughout this mechanism that DH, leading to different regional intrinsic positive end-expiratory pressures (PEEPi), could sustain part of pulmonary and systemic inflammation in moderate-to-severe COPD patients. Moreover, also the respiratory muscles could be a source of inflammatory mediators. In fact, DH by imposing a greater elastic work into inspiratory muscles functionally weaker because high operative lung volumes and possibly sick because of myopathic alterations, may markedly stress them¹¹. In any case, our data seem to support these possibilities giving insights in the potential relationship between DH and systemic inflammation in moderate-to-severe COPD.

About half of the COPD patients with IC <80% pred. showed normal CRP serum levels indicating that a large quote of COPD patients with DH had not evidence of low grade systemic inflammation. This fact remains difficult to explain looking at our data, because no differences were found between COPD patients suffering from DH, with and without abnormal CRP, in the available anthropometric, functional, clinical (included pharmacological treatment) or metabolic parameters.

This could be due to either a different strategy to manage DH-induced alveolar strain and inspiratory muscle stress among different COPD patients, or

ability to confine in the lung the inflammatory response elicited by the mechanical effects of DH in some of them. Moreover, ICS treatment added to long-acting bronchodilators could be more effective to control lung and systemic inflammation in some phenotypes of COPD patients.

Acid uric, a danger signal molecule that can be released by injured cells³⁰, was not increased in COPD patients with IC <80% pred. suggesting that DH-induced alveolar cells stretch is unable to release danger signals contributing to the enhancement of the inflammatory response.

In conclusion, resting DH when inferred by the presence of baseline IC lower than 80% pred. is associated with low grade of systemic inflammation, as shown by higher CRP serum levels. Only a subgroup of COPD patients with resting DH, however, exhibits abnormally raised values of plasma CRP. Low grade systemic inflammation might contribute to increase all cause mortality in some COPD patients with IC <80% pred. suggesting another link between DH at rest and less survival in COPD.

References

- 1) NOGUERA A, BUSQUETS X, SAULEDA J, VILLAVARDE JM, MACNEE W, AGUSTÍ AG. Expression of adhesion molecules and G proteins in circulating neutrophils in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 1998; 158: 1664-1668.
- 2) SAULEDA J, GARCIA-PALMER FJ, GONZALES G, PALOU A, AGUSTÍ AG. The activity of cytochrome oxidase is increased in circulating lymphocytes of patients with chronic obstructive pulmonary disease, asthma, and chronic arthritis. *Am J Respir Crit Care Med* 2000; 161: 32-35.
- 3) GAN WQ, MAN SFP, SENTHILSELVAN A, SIN DD. Association between chronic obstructive pulmonary disease and systemic inflammation: a systematic review and a meta-analysis. *Thorax* 2004; 59: 574-580.
- 4) MAN SFP, CONNETT JE, ANTHONISEN NR, WISE RA, TASHKIN DP, SIN DD. C-reactive protein and mortality in mild-to-moderate chronic obstructive pulmonary disease. *Thorax* 2006; 61: 849-853.
- 5) DAHL M, VESTBO J, LANGE P, BOJESSEN SE, TYBJAERG-HANSEN A, NORDESTGAARD BG. C-reactive protein as a predictor of prognosis in chronic obstructive pulmonary disease. *Am J Resp Crit Care Med* 2007; 175: 250-255.
- 6) SIN DD, MAN SFP. Systemic inflammation and mortality in chronic obstructive pulmonary disease *Can J Physiol Pharmacol* 2007; 85: 141-147.

- 7) DE MARTINIS M, FRANCESCHI C, MONTI D, GINALDI L. Inflamm-ageing and lifelong antigenic load as major determinants of ageing rate and longevity. *FEBS Letters* 2005; 579: 2035-2039.
- 8) CELERMAJER DS, ADAMS MR, CLARKSON P, ROBINSON J, MCCREDIE R, DONALD A, DEANFIELD JE. Passive smoking and impaired endothelium-dependent arterial dilatation in healthy young adults. *N Engl J Med* 1996; 334: 150-154.
- 9) MORLA M, BUSQUETS X, PONS J, SAULEDA J, MACNEE W, AGUSTÍ AG. Telomere shortening in smokers with and without COPD. *Eur Respir J* 2006; 27: 525-528.
- 10) VASSILAKOPOULOS T, KATSAOUNOU P, KARATZA MH, KOLLINTZA A, ZAKYNTHINOS S, ROUSSOS C. Strenuous resistive breathing induces plasma cytokines: role of antioxidants and monocytes. *Am J Respir Crit Care Med* 2002; 166: 1572-1578.
- 11) CASADEVALL C, CORNELL C, RAMIREZ-SARMIENTO AL, MARTÍNEZ-LLORENS J, BARREIRO E, OROZCO-LEVI M, GEA J. Upregulation of pro-inflammatory cytokines in the intercostal muscles of COPD patients. *Eur Respir J* 2007; 30: 701-707.
- 12) AGUSTI A, MACNEE W, DONALDSON K, COSIO M. Hypothesis: does COPD have an autoimmune component? *Thorax* 2003; 58: 832-834.
- 13) RABINOVICH RA, FIGUERAS M, ARDITE E, CARBÓ N, TROOSTERS T, FILELLA X, BARBERÀ JA, FERNANDEZ-CHECA JC, ARGILÉS JM, ROCA J. Increased tumour necrosis factor-alpha plasma levels during moderate-intensity exercise in COPD patients. *Eur Respir J* 2003; 21: 789-794.
- 14) TANTUCCI C, DUGUET A, SIMILOWSKI T, ZELTER M, DERENNE JP, MILIC-EMILI J. Effect of salbutamol on dynamic hyperinflation in chronic obstructive pulmonary disease patients. *Eur Respir J* 1998; 12: 799-804.
- 15) TANTUCCI C, DONATI P, NICOSIA F, BERTELLA E, REDOLFI S, DE VECCHI M, CORDA L, GRASSI V, ZULLI R. Inspiratory capacity predicts mortality in patients with chronic obstructive pulmonary disease. *Resp Med* 2008; 102: 613-619.
- 16) DIAZ O, VILLAFRANCA C, GHEZZO H, BORZONE G, LEIVA A, MILIC-EMILI J, LISBOA C. Role of inspiratory capacity on exercise tolerance in COPD patients with and without expiratory flow limitation at rest. *Eur Respir J* 2000; 16: 269-275.
- 17) ZULLI R, DONATI P, NICOSIA F, DE VECCHI M, TANTUCCI C, ROMANELLI G, GRASSI V. Increased QT dispersion: a negative prognostic finding in chronic obstructive pulmonary disease. *Intern Emerg Med* 2006; 1: 279-286.
- 18) NOVALI M, LA PIANA GE, TARANTO-MONTEMUSSO L, et al. Predictive factors of sleep oxygen desaturation in COPD patients without daytime respiratory failure and OSAH. *Am J Respir Crit Care Med* 2008; A935.
- 19) AGUSTI A, SORIANO JB. Dynamic hyperinflation and pulmonary inflammation: a potentially relevant relationship? *Eur Respir J* 2006; 100: 68-71.
- 20) CHARLSON ME, POMPEI P, ALES KL, MACKENZIE CR. New method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chron Dis* 1987; 40: 373-383.
- 21) TANTUCCI C, PINELLI V, COSSI S, GUERINI M, DONATO F, GRASSI V. The SARA study group. Reference values and repeatability of inspiratory capacity for men and women aged 65-85. *Resp Med* 2006; 100: 871-877.
- 22) SOLER-CATALUNA JJ, MARTINEZ-GARCIA MA, ROMÁN SÁNCHEZ P, SALCEDO E, NAVARRO M, OCHANDO R. Severe acute exacerbations and mortality in patients with chronic obstructive pulmonary disease. *Thorax* 2005; 60: 925-931.
- 23) O'DONNELL DE. Hyperinflation, dyspnea and exercise intolerance in chronic obstructive pulmonary disease. *Proc Am Thorac Soc* 2006; 3: 180-184.
- 24) VASSAUX C, TORRE-BOUSCOULET L, ZEINEIDINE S, CORTOPASSI F, PAZ-DIAZ H, CELLI BR, PINTO-PLATA VM. Effects of hyperinflation on the oxygen pulse as a marker of cardiac performance in COPD. *Eur Respir J* 2008; 32: 1275-1282.
- 25) MYERS J, PRAKASH M, FROELICHER V, DO D, PARTINGTON S, ATWOOD JE. Exercise capacity and mortality among men referred for exercise testing. *N Engl J Med* 2002; 346: 793-801.
- 26) OGA T, NISHIMURA K, TSUKINO M, et al. Analysis of the factors related to mortality in chronic obstructive pulmonary disease. Role of exercise capacity and health status. *Am J Respir Crit Care Med* 2003; 167: 544-549.
- 27) PINTO-PLATA VM, COTE C, CABRAL H, TAYLOR J, CELLI BR. The 6-min walk distance: change over time and value as a predictor of survival in severe COPD. *Eur Respir J* 2004; 23: 28-33.
- 28) VLAHAKIS NE, SCHROEDER MA, LIMPOR AH, HUBMAYR RD. Stretch induces cytokine release by alveolar epithelial cells *in vitro*. *Am J Physiol* 1999; 277: L167-L173.
- 29) RANIERI MV, SUTER PM, TORTORELLA C, DE TULLIO R, DAYER JM, BRIENZA A, BRUNO F, SLUTSKY AS. Effect of mechanical ventilation on inflammatory mediators in patients with acute respiratory distress syndrome. *JAMA* 1999; 282: 54-61.
- 30) SHI Y, EVANS JE, ROCK KL. Molecular identification of a danger signal that alerts the immune system to dying cells. *Nature* 2003; 425: 516-521.