

# Factors influencing the therapeutic failure of high-flow nasal cannula oxygen humidification in patients with interstitial pneumonia complicated by respiratory failure

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**Abstract. – OBJECTIVE:** The aim of this study was to explore the factors influencing the treatment failure of high-flow nasal cannula (HFNC) therapy in patients with interstitial pneumonia (IP) complicated by respiratory failure.

**PATIENTS AND METHODS:** A total of 158 patients with IP and respiratory failure treated with HFNC in our hospital from January 2020 to August 2023 were selected as the study population. Based on treatment efficacy, they were categorized into the HFNC treatment failure group and the HFNC treatment success group. Clinical data were compared between the two groups. Multiple logistic regression analysis was employed to identify independent factors influencing treatment failure, and the predictive value of these factors for HFNC treatment failure was assessed using receiver operating characteristic (ROC) curve analysis.

**RESULTS:** After 7 days of HFNC treatment, among the 158 patients with IP and respiratory failure, 25 (15.8%) declared treatment failure, while the remaining 133 (84.2%) showed treatment success. Patients in the HFNC treatment failure group had significantly higher age, duration of IP, pre-treatment respiratory rate, C-reactive protein (CRP), and controlling nutritional status (CONUT) scores compared to the HFNC treatment success group. The PaO<sub>2</sub>/FiO<sub>2</sub> ratio, left ventricular ejection fraction, and Glasgow Coma Scale (GCS) were significantly lower in the HFNC treatment failure group ( $p < 0.05$ ). Multiple logistic regression analysis revealed that pre-treatment PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT, and GCS scores were independent factors influencing HFNC treatment failure in patients with IP and respiratory failure ( $p < 0.05$ ). Lower PaO<sub>2</sub>/

FiO<sub>2</sub> ratio and GCS scores, and higher CRP and CONUT scores were associated with an increased risk of HFNC treatment failure. ROC curve analysis indicated that pre-treatment PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT, and GCS scores in patients with IP and respiratory failure had a high predictive value for HFNC treatment failure ( $p < 0.05$ ).

**CONCLUSIONS:** The HFNC failure rate in patients with IP and respiratory failure is 15.8%. Pre-treatment PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT, and GCS scores are independent factors associated with HFNC treatment failure and warrant clinical attention.

*Key Words:*

High-flow nasal cannula, Interstitial pneumonia, Respiratory failure.

## Introduction

Interstitial pneumonia (IP) refers to a disease caused by various factors, leading to inflammation and fibrosis in the lung interstitium, bronchi, and alveolar sacs. It is characterized by symptoms such as cough, fever, shortness of breath, fatigue, and weight loss. Inflammation often involves alveolar epithelial cells, endothelial cells of capillaries, basal membrane, and tissues around blood vessels and lymphatic vessels. Ultimately, it results in fibrosis of the lung interstitium, affecting gas exchange between alveoli and capillaries, posing a life-threatening risk with a poor

prognosis. Oxygen therapy is often required to improve respiratory function, and the diagnosis and treatment of IP have been a focus of clinical research<sup>1-5</sup>.

A high-flow nasal cannula (HFNC) is a novel, non-invasive respiratory support method that provides high-flow, warmed, and humidified oxygen to patients through a non-sealed nasal cannula. It aims to maintain blood oxygen concentration, improve respiratory conditions, and has advantages such as easy operation and good patient comfort. Its application has become increasingly widespread, demonstrating better efficacy in improving the overall prognosis of patients with respiratory failure compared to traditional oxygen therapy<sup>6-10</sup>. However, some patients do not respond well to treatment, and symptoms such as low oxygen levels, cough, and respiratory distress do not significantly improve. In such cases, endotracheal intubation and mechanical ventilation may be required, delaying the timing of treatment and affecting the prognosis. Therefore, analyzing the factors influencing HFNC treatment failure is crucial for guiding clinical treatment strategies. This study retrospectively analyzed 158 cases of IP patients with concomitant respiratory failure treated with HFNC in our hospital from January 2020 to August 2023, exploring the factors influencing HFNC treatment failure.

## Patients and Methods

### Patients

A total of 158 IP patients with concomitant respiratory failure treated with HFNC from January 2020 to August 2023 were selected as the study subjects. Inclusion criteria: (1) thin-section lung CT suggesting IP, pulmonary function tests indicating restrictive ventilatory dysfunction, and patients presenting symptoms such as cough and respiratory distress, suggesting the acute exacerbation of IP; (2) arterial partial pressure of O<sub>2</sub> (PaO<sub>2</sub>) < 60 mmHg, and arterial partial pressure of CO<sub>2</sub> (PaCO<sub>2</sub>) normal, indicating type I acute respiratory failure, requiring oxygen therapy to improve ventilation. Exclusion criteria: (1) history of lung surgery or concomitant respiratory system diseases such as pulmonary embolism, malignant lung tumors, and pulmonary tuberculosis; (2) critical condition with an expected survival period of less than 30 days; (3) severe liver or kidney dysfunction, severe infection, or other serious diseases involving other organs.

### Methods

All patients received symptomatic supportive treatment, including anti-inflammatory, antitussive, and expectorant therapy, after the diagnosis of IP with concomitant respiratory failure was confirmed. At the same time, HFNC treatment was implemented using the high-flow respiratory humidification apparatus (HUMID-BM) provided by Respirecare (Shenzhen, China). The gas temperature was set at 37°C with 100% relative humidity, and the oxygen flow rate was adjusted to around 38 L/min. The inhaled oxygen concentration was controlled at around 40%, and relevant parameters were adjusted promptly based on vital signs, blood gas analysis, and other results. The treatment was planned to last for 7 days for efficacy evaluation. If patients experienced hemodynamic instability, worsening infection, and respiratory distress, or if the ratio of PaO<sub>2</sub> to the fraction of inspired oxygen (FiO<sub>2</sub>) fell below 100, indicating worsening condition, mechanical ventilation treatment was considered, and these cases were classified as the HFNC treatment failure group, while the remaining patients were classified as the HFNC treatment success group.

Clinical data, including gender, age, smoking history, duration of IP, heart rate (HR), respiratory rate (RR), PaCO<sub>2</sub>, PaO<sub>2</sub>/FiO<sub>2</sub> ratio, left ventricular ejection fraction (LVEF), C-reactive protein (CRP), Controlling Nutritional Status (CONUT) score, and Glasgow Coma Scale (GCS), were collected and compared between the HFNC treatment failure group and the HFNC treatment success group.

### Statistical Analysis

SPSS 28.0 software (IBM Corp., Armonk, NY, USA) was used for statistical analysis. Normally distributed measurement data (such as age, duration of IP, HR, RR) were expressed as mean ± standard deviation. Independent sample *t*-tests were used to compare measurement data between the HFNC treatment failure group and the HFNC treatment success group. Enumeration data (such as gender and smoking history) were expressed as the number of cases and percentages, and Chi-square tests were used to compare enumeration data between the two groups. Multifactorial logistics regression analysis was employed to identify independent factors influencing HFNC treatment failure. The receiver operating characteristic (ROC) curve was used to evaluate the predictive value of parameters for HFNC treatment failure, with the

area under the curve (AUC) indicating a higher predictive value. Sensitivity, specificity, positive predictive value, and negative predictive value were derived from the AUC. A  $p$ -value  $<0.05$  was considered statistically significant.

### Results

#### Comparison of Clinical Data Between HFNC Treatment Failure Group and HFNC Treatment Success Group

Among the 158 IP patients with concomitant respiratory failure, after 7 days of HFNC treatment, 25 patients (15.8%) were declared treatment failure and required mechanical ventilation, while the remaining 133 patients (84.2%) had successful treatment. As shown in Table I, patients in the HFNC treatment failure group had significantly higher age, duration of IP, RR before treatment, CRP, and CONUT score, and significantly lower PaO<sub>2</sub>/FiO<sub>2</sub> ratio, LVEF, and GCS score compared to the HFNC treatment success group ( $p<0.05$ ).

#### Multifactorial Logistics Regression Analysis of HFNC Treatment Failure in IP Patients with Concomitant Respiratory Failure

As shown in Table II, multifactorial logistics regression analysis results indicated that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio before treatment, CRP, CONUT,

and GCS score were independent factors influencing HFNC treatment failure in IP patients with concomitant respiratory failure ( $p<0.05$ ). The lower the PaO<sub>2</sub>/FiO<sub>2</sub> ratio and GCS score, and the higher the CRP and CONUT scores, the higher the risk of HFNC treatment failure.

#### Predictive Value of PaO<sub>2</sub>/FiO<sub>2</sub> Ratio, CRP, CONUT, and GCS Score for HFNC Treatment Failure in IP Patients with Concomitant Respiratory Failure

As shown in Table III, ROC curve analysis results indicated that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT, and GCS score before treatment in IP patients with concomitant respiratory failure had a high predictive value for HFNC treatment failure ( $p<0.05$ ).

### Discussion

Interstitial pneumonia (IP) is an inflammatory disease primarily affecting the lung interstitium, including parts between alveolar epithelial cells and the basal layer of endothelial cells. IP is a highly heterogeneous disease with unclear etiology and pathogenesis, possibly related to drugs, external environment, granulomatous diseases, connective tissue diseases, viral infections, and other factors. It is characterized by inflammation and fibrosis in the lung interstitium, often

**Table I.** Comparison of clinical data between the HFNC treatment failure group and HFNC treatment success group.

Group	Male [n (%)]	Age	Smoking history [n (%)]	IP duration	Heart rate (beats/min)	Respiratory rate (breaths/min)
HFNC treatment Failure group (n=25)	15 (60.0)	65.69±8.03	15 (60.0)	42.50±6.23	102.26±10.23	30.83±3.36
HFNC treatment Success group (n=133)	85 (63.9)	62.28±6.98	66 (49.6)	40.09±5.09	98.90±9.39	29.03±3.98
$\chi^2/t/Z$	0.139	2.187	0.907	2.093	1.618	2.122
$p$	0.710	0.030	0.341	0.038	0.108	0.035
Group	PaCO <sub>2</sub> (mmHg)	PaO <sub>2</sub> /FiO <sub>2</sub> Ratio	LVEF (%)	CRP (mg/L)	CONUT score	GCS score
HFNC treatment Failure group (n=25)	37.53±2.26	188.63±19.36	49.28±6.69	36.69±8.03	6.38±2.03	12.26±1.69
HFNC treatment Success group (n=133)	37.06±2.08	198.69±17.96	53.32±8.06	30.89±6.98	4.26±1.56	13.09±1.08
$\chi^2/t/Z$	1.022	2.538	2.356	3.720	5.926	3.136
$p$	0.308	0.012	0.020	<0.001	<0.001	0.002

High-flow nasal cannula (HFNC), C-reactive protein (CRP), Glasgow Coma Scale (GCS), controlling nutritional status (CONUT), left ventricular ejection fraction (LVEF).

**Table II.** Multifactor logistic regression analysis results.

Factor	Coefficient	Standard error	Odds ratio	95% confidence interval	Wald $\chi^2$ value	p-value
PaO <sub>2</sub> /FiO <sub>2</sub> ratio	-0.108	0.053	0.898	0.809 to 0.996	4.121	0.042
CRP (mg/L)	0.806	0.335	2.239	1.161 to 4.317	5.789	0.016
CONUT score	1.629	0.589	5.098	1.607 to 16.172	7.648	0.006
GCS score	-0.402	0.156	0.669	0.493 to 0.908	6.640	0.010

C-reactive protein (CRP), Glasgow Coma Scale (GCS), controlling nutritional status (CONUT).

**Table III.** ROC curve analysis results.

Indicator	AUC	Sensitivity (%)	Specificity (%)	Positive predictive value (%)	Negative predictive value (%)	Youden's index
PaO <sub>2</sub> /FiO <sub>2</sub> ratio	0.706	76.0	87.2	61.3	95.1	0.632
CRP (mg/L)	0.769	84.0	84.2	63.6	96.6	0.682
CONUT score	0.856	88.0	92.5	64.7	97.6	0.805
GCS score	0.823	92.0	87.2	65.7	98.3	0.792

C-reactive protein (CRP), Glasgow Coma Scale (GCS), controlling nutritional status (CONUT), area under the curve (AUC).

irreversible, affecting the gas exchange process and causing progressively worsening dyspnea. Patients may experience reduced exercise tolerance, imposing a heavy burden on both patients and their families. Some patients may experience acute exacerbation of the condition due to factors such as respiratory tract infections, leading to hypoxemia and, in severe cases, acute respiratory failure, threatening the patient's life. Timely respiratory support is essential for improving the prognosis<sup>11-13</sup>. For patients with acute respiratory failure, non-invasive ventilation techniques such as nasal cannulas or masks are commonly used in clinical practice for oxygen therapy, aiming to correct respiratory function and improve prognosis. However, it is observed that some patients cannot tolerate or refuse traditional respiratory support techniques such as masks or nasal cannulas due to facial skin damage, eye irritation symptoms, claustrophobia, or inconvenience in daily life, limiting their clinical application. On the other hand, invasive mechanical ventilation is more traumatic and is considered a last resort.

In recent years, High-Flow Nasal Cannula (HFNC) therapy has gained widespread clinical use. HFNC is a novel and simplified respiratory support method, providing high-flow, heated, and humidified oxygen by adjusting relevant parameters. It delivers a constant and appropriate oxygen concentration through nasal prongs, with

a soft and comfortable interface, making it highly tolerated. HFNC is applicable to patients with respiratory failure caused by various diseases, reducing respiratory resistance, work of breathing, and frequency while alleviating respiratory distress and improving the oxygenation index. A retrospective study by Omote et al<sup>14</sup> indicated that both HFNC and non-invasive positive pressure ventilation could improve oxygenation in patients with interstitial pneumonia and acute respiratory failure. HFNC, compared to non-invasive positive pressure ventilation, was more effective in increasing mean arterial pressure and decreasing APACHE II scores. However, there was no significant difference in the intubation rate on the 30<sup>th</sup> day between the two methods. Multifactorial analysis suggested that HFNC independently influenced patient mortality within 30 days. Nevertheless, HFNC is not the ultimate means of non-invasive oxygen therapy. Ineffectiveness may still be observed in some patients, and ultimately, tracheal intubation and mechanical ventilation are required to improve oxygenation. The delayed timing of tracheal intubation in these cases may adversely affect prognosis. Therefore, accurately predicting the efficacy of HFNC before implementation is crucial for devising effective clinical treatment strategies. This study aimed to analyze the factors influencing the failure of HFNC therapy in patients with IP and respiratory failure,

providing a reference for the clinical application of HFNC. Univariate analysis showed that HFNC treatment failure was associated with age, duration of IP, respiratory rate before treatment (RR), C-reactive protein (CRP), CONUT score, PaO<sub>2</sub>/FiO<sub>2</sub> ratio before treatment, left ventricular ejection fraction (LVEF), and Glasgow Coma Scale (GCS) score. Further multifactorial logistic regression analysis revealed that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT score, and GCS score before treatment were independent factors influencing HFNC treatment failure ( $p < 0.05$ ). ROC curve analysis demonstrated that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT score, and GCS score before treatment in IP patients with respiratory failure had high predictive value for HFNC treatment failure ( $p < 0.05$ ).

The PaO<sub>2</sub>/FiO<sub>2</sub> ratio, also known as the oxygenation index, is the most commonly used indicator in clinical practice to assess respiratory function and blood oxygen levels in oxygen-receiving populations. It effectively reflects the degree of hypoxia and lung damage. IP induces varying degrees of damage to the alveoli and lung parenchyma, leading to insufficient ventilation and affecting the exchange of oxygen and carbon dioxide. Severe cases may result in hypoxemia and acute respiratory failure. This study found that the lower the PaO<sub>2</sub>/FiO<sub>2</sub> ratio before HFNC treatment, the higher the risk of HFNC treatment failure. This suggests that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio can serve as an effective predictive indicator of HFNC efficacy. Patients with a lower PaO<sub>2</sub>/FiO<sub>2</sub> ratio have poorer oxygenation, worse gas exchange function in the lungs, and relatively more fatigue in the respiratory muscles; a higher risk of correcting hypoxemia is difficult, increasing the risk of HFNC ineffectiveness. CRP is one of the most commonly used inflammatory markers in clinical practice and a crucial indicator reflecting the body's infection status. For IP patients with acute respiratory failure, inflammation and fibrosis in the pulmonary interstitium may lead to respiratory tract infections. Infections increase the secretion of inflammatory substances in the respiratory tract, worsening the condition and promoting the progression of interstitial inflammation and fibrosis. This results in poorer lung function, thereby affecting the efficacy of HFNC oxygen therapy. Therefore, patients with acute respiratory tract infections should receive antibiotic treatment based on susceptibility results, and controlling infections can further improve the respiratory support effect of HFNC,

reduce the risk of treatment failure, and improve prognosis. The CONUT score is a novel nutritional assessment tool that relies on three commonly used indicators – serum albumin (Alb), total cholesterol (TC), and total peripheral lymphocyte count – to evaluate the nutritional status of patients. These three indicators represent protein reserves, immune-inflammatory status, and energy consumption. The assessment method is simple and objective, with high clinical applicability, assisting in screening patients for nutritional risk, and being associated with the prognosis of various respiratory system diseases<sup>15-20</sup>. This study demonstrated that the higher the CONUT score, the higher the risk of HFNC treatment failure in IP patients with respiratory failure. This may be due to the association between nutritional status and the strength of respiratory muscles. Malnourished patients are more likely to experience respiratory muscle fatigue, affecting the structure and function of respiratory muscles. Therefore, in clinical practice, attention should be paid not only to respiratory function but also to the presence and degree of malnutrition in patients. Implementing appropriate nutritional support can help reduce the breakdown metabolism rate, alleviate negative nitrogen balance, and assist in improving respiratory function. Additionally, this study found that the consciousness status of IP patients with respiratory failure is also related to the efficacy of HFNC. The lower the GCS score, the higher the risk of HFNC treatment failure. This may be because patients with impaired consciousness have a weaker ability to expectorate autonomously and weaker cough reflexes, making them more prone to risks such as sputum accumulation and obstruction of the airway behind the tongue. Their lung ventilation function is relatively weaker, affecting the efficacy of HFNC.

## Conclusions

In summary, the failure rate of HFNC in IP patients with respiratory failure is 15.8%. The PaO<sub>2</sub>/FiO<sub>2</sub> ratio, CRP, CONUT score, and GCS score before treatment are independent factors influencing HFNC treatment failure and deserve clinical attention.

## Conflict of Interest

The authors have no conflict of interest to declare.

### Ethics Approval

This study has been approved by the Ethics Committee of The First People's Hospital of Baiyin City, with the approval number YL-KY-2022-013. All research activities were conducted in accordance with the international ethical standards and Helsinki Declaration.

### Informed Consent

Patients were involved in the study after signing the informed consent.

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