

The role of sarcopenia in the pancreatic adenocarcinoma

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Abstract. – OBJECTIVE: Pancreaticoduodenectomy is still associated with prolonged hospitalization and with a lot of complications. For these reasons, it is fundamental to improve strategies for preoperative risk stratification, and sarcopenia is one of the new identified markers of frailty. The purpose of our study was to retrospectively detect sarcopenia in patients undergoing pancreaticoduodenectomy and evaluate its importance as a preoperative marker.

PATIENTS AND METHODS: We retrospectively identified a total amount of 76 consecutive patients who underwent pancreaticoduodenectomy for pancreatic head adenocarcinoma. Patients' and tumor's characteristics were recorded retrospectively.

RESULTS: It appears that sarcopenia might be a useful preoperative prognostic factor for patients undergoing PD for PA. We found that Recurrence Free Survival may be influenced by presence or absence of preoperative sarcopenia, and we can confirm that in sarcopenic patients the average hospital stay is 20% longer than in non sarcopenic ones.

CONCLUSIONS: Sarcopenia has a central role because it is a very common condition found in pancreatic cancer patients; there is growing evidence showing that it is associated with worse surgical outcomes. We can state that evaluating sarcopenia in cancer patients could improve the postoperative outcomes, overall survival rates and, nevertheless, the recurrence free survival ones.

Key Words:

Pancreaticoduodenectomy, Sarcopenia, Preoperative marker, Pancreatic adenocarcinoma.

Introduction

The great majority of pancreatic adenocarcinomas are located in the head of pancreas, which

approximately represents the 70% of the entire organ. Due to this location the most frequent pancreatic surgery required and carried out for radical purpose is the open pancreaticoduodenectomy (PD)¹. Despite the most recent innovations in surgical techniques and perioperative management, this procedure is still associated with prolonged hospitalization and is still burdened by a lot of complications, first of all the postoperative pancreatic fistula that often leads to a fatal outcome²⁻⁵.

For these reasons it is fundamental to improve strategies for preoperative risk stratification, not only based on oncological factors but also on nutritional ones: in fact the identification of high-risk patients leads to a more reasonable management of economical sources and, above all, allows to provide, for patients with modifiable risk factors, adequate preoperative rehabilitation in order to improve postoperative outcomes: this is possible, for example, using adequate and personalized "training" programs as shown in the conclusions of this study^{2,3,6,7}.

In this context, sarcopenia is one of the relatively new identified markers of frailty, especially in elderly people⁸⁻¹⁸. It is a morphometric parameter characterized by quantitative and qualitative loss of skeletal muscle mass and it is known that the muscular compartment is a homeostatic reserve that reflects the general state of health^{10,17,19}.

Sarcopenia is a common condition in pancreatic cancer patients and, in several studies, it has emerged to be strictly related to augmented morbidity, prolonged hospitalization, worse prognosis and generally to a decreased quality of life in patients undergoing pancreatic resections. Despite the confirmed importance of sarcopenia, literature appears to show contrasting and contradictory results²⁰⁻³⁴.

Therefore, the purpose of our study was to retrospectively detect sarcopenia in patients undergoing pancreaticoduodenectomy in Hepato-Pancreatic-Biliary Surgery Department of L'Aquila, Italy, and to evaluate the importance of sarcopenia itself as a preoperative marker of overall survival, disease-free survival and prolonged time of hospitalization.

Patients and Methods

We retrospectively identified a total amount of 76 consecutive patients who underwent pancreaticoduodenectomy (PD) for pancreatic head adenocarcinoma with curative intent between June 2013 and May 2019 in a single center, Hepato-biliary-pancreatic Surgery Department of L'Aquila (Italy). The data were recorded in collaboration with the Department of Surgery of the University of L'Aquila (L'Aquila, Italy).

All patients enrolled in the study presented eligible criteria for PD, according to ESMO guidelines³⁵ and AIOM 2019 guidelines³⁶ for pancreatic cancers. We enrolled patients with available preoperative staging CT images and we decided to include in the study only patients with resectable disease, excluding borderline resectable.

Patients' and tumor's characteristics were recorded retrospectively, extracting all data from our institutional database. Pathologic diagnosis of all patients enrolled was pancreatic head ductal adenocarcinoma. Data collected included Overall Survival and Recurrences free Survival rates, sex, age at the time of surgery, personal medical history, physical examination as well as routine laboratory testing. In addition, we obtained details on the surgical procedure, on the postoperative course, on length of hospital stay and on the final pathological report. Comorbidities were assessed using the Charlson Age Comorbidity

Index (CACI)³⁷, perioperative complications were reported according to the classification system defined by Dindo et al³⁸ and fistulas were graded according to the latest consensus definitions³⁹.

The study protocol was approved by the Ethical Committee of the University of L'Aquila and all patients gave their written informed consent to participate in the study.

Skeletal Muscle Measurement

Patient skeletal muscle mass was retrospectively assessed using measurements of muscle area on the existing diagnostic or staging CT scans. The cross-sectional skeletal muscle area was manually traced and automatically calculated on a single transversal section of the abdomen at the level of the transverse process of the third lumbar vertebra (L3). Muscle attenuation was not taken into account. The calculated area was then normalized for body length, presenting a skeletal muscle index (cm^2/m^2) (SMI), because L3 skeletal muscle area has previously been shown to correlate with the whole-body muscle mass⁴⁰.

Cut-offs for sarcopenia were based on the computed tomography-based sarcopenia assessment for cancer patients conducted by Prado et al^{18,40} (i.e., L3 skeletal muscle index $\leq 38.5 \text{ cm}^2/\text{m}^2$ for women and $\leq 52.4 \text{ cm}^2/\text{m}^2$ for men).

CT Hounsfield unit thresholds were -29 to +150 for lean muscle and -190 to -30 HU and -150 to -50 HU for subcutaneous and intramuscular fat and visceral obese tissue, respectively⁴¹.

Results

Seventy-six patients were initially enrolled. Upfront pancreaticoduodenectomy for resectable pancreatic head adenocarcinoma was always considered as the first-line treatment. Patients were stratified with characteristics shown in the Table

Table I. Patient's characteristics.

Characteristics of patients sarcopenic (S) vs. non sarcopenic (NS)	Group	
	S (n° = 32)	NS (n° = 36)
BMI (kg/cm^2)	19.6	21.4
Weight (kg)	61.5	65
Albumin levels (mg/dL)	2.8	3.1
Mean age (years)	63	62
Major complications %		
Sec. Clavien-Dindo > 3	20%	14%
Length of stay (days)	21	17

Table II. Muscle Mass Radiological assessment.

Radiological assessment	(S)	vs.	(NS)
SMD (Hu)	6.17		6.68
SMI (cm ² /mm ²)	30.3		50.07

SMD: skeletal muscle radiodensity; SMI: skeletal muscle index.

I. We later decided to exclude 8 patients due to different diagnosis, border-line resectable disease, non resectable or locally advanced disease and tumor located in another pancreatic segment.

Using the Martin et al⁴² definition, sarcopenia was defined as SMI less than 52.4 cm²/m² for men and SMI less than 38.5 cm²/m² for women. According to this definition, we identified two different groups: 32 sarcopenic patients and 36 non sarcopenic patients as shown in Table II. The patients' characteristics were otherwise homogeneous (Table I). Several parameters such as weight, BMI, albumin levels, age at the time of surgery were considered, as long as postoperative complications, length of the hospitalization and the radiological muscle mass assessment (Table II). The parameters evaluated in both groups were normally distributed, thus the two samples were considered for further analysis. The median follow-up was 24 months (1-48 months).

The primary endpoint was to evaluate median overall survival and as secondary endpoints we evaluated disease-free survival and length of hospital stay.

Data collected between the two groups of patients were considered as continuous variables and were compared with a two-way ANOVA test. For the Overall Survival (OS) a Kaplan-Meier curve was constructed, using survival of all patients as the dependent variables and SMI as the independent one. The Kaplan-Meier survival analysis indicates that preoperative sarcopenia results in worse overall survival (Figure 1).

The OS rate was significantly different between the two groups based on the Log-rank test (p -value = 0,0057) thus a p -value < 0.05 was considered statistically significant. Observing the overall survival data between the two groups it appears that sarcopenia might be a useful preoperative prognostic factor for patients undergoing PD for PA.

Subsequently we also found that Recurrence Free Survival may be influenced by presence or absence of preoperative sarcopenia: statistical comparison of the two groups was evaluated with

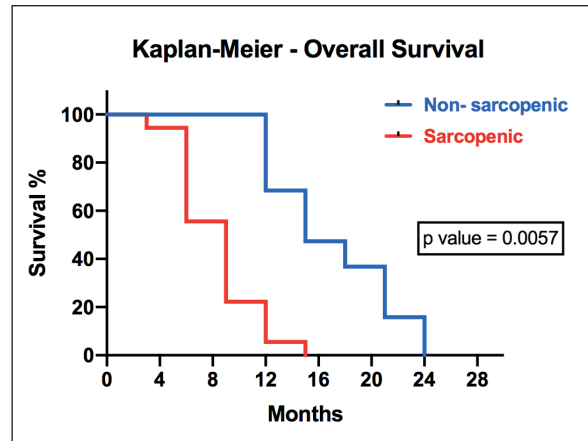


Figure 1. Kaplan-Meier survival analysis.

the two-way ANOVA multiple comparison test, obtaining a p -value as 0.0011 (Figure 2). Thus, the analysis supports the significance of longer Disease Free Survival in non-sarcopenic patients.

Finally, we also evaluated the medium length of hospital stay, concluding that in sarcopenic and non-sarcopenic patients the average time of hospitalization was respectively of 21 days and 17 days (Figure 3). Therefore, as shown in literature's findings, we can confirm that in sarcopenic patients the average hospital stay is 20% longer than in non sarcopenic ones.

Summing up, our study can state that, after PD, preoperative sarcopenia results in worse overall survival (Figure 1), worse recurrences free survival (Figure 2), and augmented medium hospital stay (Figure 3).

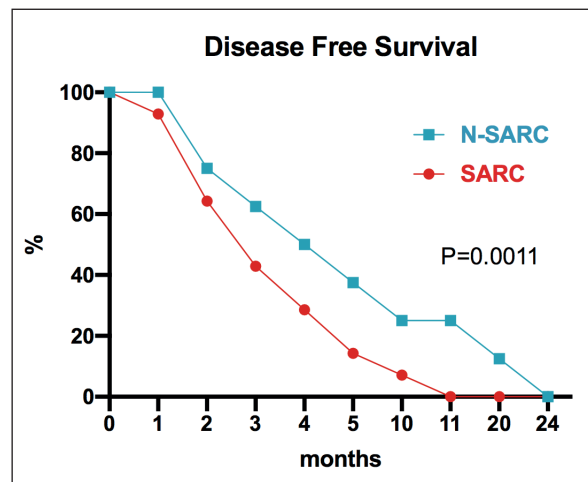


Figure 2. Disease free survival.

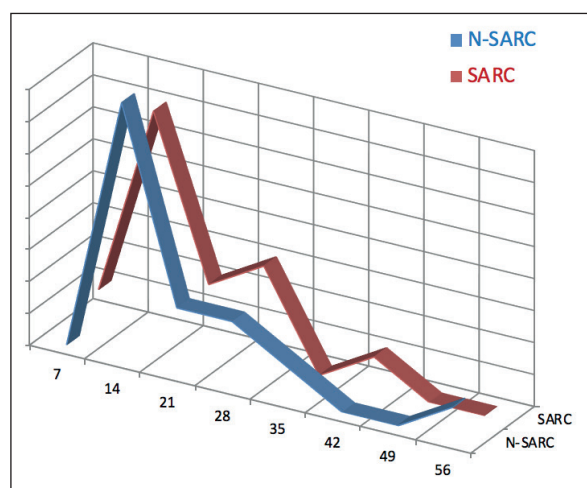


Figure 3. Length of hospitalization.

Discussion

Pancreatic adenocarcinoma is one of the most life-threatening tumors with a life expectancy of ~5% at 5 years, expectancy that has seen no improvement over the past 20 years, with incidence and mortality rate remaining unchanged in the past decade^{30,43,44}. This happens because of two important factors: first because the great majority of patients progresses to metastatic or locally advanced disease without manifesting symptoms; secondly because only a small percentage, about 15-20%, is resectable and can be surgically treated with a radical aim, obtaining a 5 years survival-rate of about the 20% of patients^{1,19,35,45}, despite the enormous developments achieved by radiological techniques, by adjuvant or neoadjuvant therapies or radiotherapy that has managed to reach high standards of efficacy and tolerability^{1,19,46}. Except for tumors located in the pancreas body or in the pancreas tail (30%), pancreaticoduodenectomy (PD), also known as whipple procedure, is by far the most frequent surgery to undertake.

Recently, literature has proved how the systematic clinical evaluation of factors related to the nutritional status can act as an important predictive corollary in the preoperative risk assessment of patient undergoing major surgery for cancer^{4,19,23,26,47}.

In fact, patients with cancer frequently experience nutritional status disorders, and the risk of muscle depletion comes from two different types of wasting disorders that can be related but are not synonyms: cachexia and sarcopenia. Cachex-

ia is defined as a cytokine-mediated degradation of muscle and sometimes adipose depots, while Sarcopenia is defined as the age-related decreasing in muscle mass, associated with changes in muscle synthesis signaling pathways.

The “European Working Group on Sarcopenia in Older People” (EWGSOP) has recently described Sarcopenia as a progressive and generalized loss of skeletal muscle mass and strength, recommending that the definition, and, therefore, the diagnosis, should always include low muscle strength and functionality, even if these two parameters are difficult to evaluate in clinical practice¹⁹.

Sarcopenia is a universal phenomenon with a complex, multi-factorial etiology. Many of the potential causes vary by the age of the individual. The major factors considered to be involved include genetic heritability^{48,49}, nutritional status (protein intake, energy intake, and vitamin D status)⁵⁰⁻⁵², physical activity⁵³⁻⁵⁵, hormonal changes (declines in serum testosterone and growth hormone), insulin resistance⁵⁶⁻⁵⁸, atherosclerosis⁵⁹⁻⁶¹ and changes in circulating pro-inflammatory cytokines⁶².

Over the age span from 20 to 80 years of age, there is approximately a 30% reduction in muscle mass and a decline in cross-sectional area of about 20%⁶³. This is due to a decline in both muscle fiber size and number. There is no consensus on whether there is a selective loss of specific muscle fiber types. Early cross-sectional studies demonstrated a shift in muscle fiber composition with a higher type I/type II fiber ratio with advancing age⁶⁴. Larsson et al⁶⁵ suggested a preferential loss of type II fibers with advancing age, potentially starting in early adulthood. Type II fibers demonstrate selective atrophy (with a preservation of Type I fiber area) with age⁶⁶. This is due to a reduction in high intensity activities that recruit these fibers, while type I fibers are used for most activities of daily living and during submaximal exercise (e.g. walking)⁶⁷.

Muscle protein metabolism depends on muscle protein breakdown (MPB) and muscle protein synthesis (MPS)⁶⁸. In elderly the balance between these parameters is altered, with the loss of skeletal muscle mass⁶⁸.

In the last years, has gained more interest the role of inflammation in the regulation of muscle protein metabolism.

Aging is in fact associated with a chronic state of inflammation, with the presence in the plasma of pro-inflammatory markers (TNF α , IL-6 and

CRP). This state is often referred to increased numbers of cells that are in the state of cellular senescence: it seems to be an association between senescence and the production of pro-inflammatory cytokines, maybe linked to the irreparable DNA damage^{69,70}.

Moreover, age-related reduction in motor nerve cells responsible for sending signals from the brain to the muscles to initiate movement also occurs. Satellite cells are small mononuclear cells that abut muscle fibers and are normally activated upon injury or exercise. In response to these signals, satellite cells differentiate and fuse into the muscle fiber, helping to maintain muscle function. One current hypothesis is that sarcopenia is caused, in part, by a failure in satellite cell activation^{71,72} (Figure 4).

Sarcopenia has been historically considered as prevalent in elderly people, but it is important to consider that this condition can also be connected, as we just stated, to deregulation in muscle signaling activity, and it often happens in chronic disease, systemic inflammation, physical inactivity and, usually, in cancer (secondary sarcopenia)^{17,30}.

Sarcopenic patients seems more likely to have poorer surgical outcomes and poor prognosis in many cancers, not only pancreatic ones^{22,30,73}. Moreover, sarcopenia is also involved in a vicious circle made of mutual negative interactions between sarcopenia itself and chemotherapy. Indeed, there is a wide agreement showing that sarcopenic patients show a decreased response to chemotherapy because they are often forced to reduce chemotherapy dosage (or to delay the cycles of administration) due to increased toxicity. Con-

versely, some evidences reveal that chemotherapy can also act as a cause of sarcopenia, causing a 24-fold more rapid muscle loss compared with loss occurring in normal aging process.

Hence, several studies ended up focusing on sarcopenia with the aim to identify a further prognostic risk factor of post-operative outcomes, in order to better select patients fit for surgery. Selecting adequate candidates for pancreas resection is crucial to implement the surgery benefits, since PD is still a high-risk operation, especially in elderly patients.

There is a wide array of assessment tools for sarcopenia and, each of them, differs in applicability in research settings and clinical settings³⁰. Since different studies often utilize different appliances for assessment and not even unified cut-off values, is particularly difficult to interpret and compare results across literature. The measurable parameters of sarcopenia are the amount of muscle and its function. Several imaging techniques have been used for estimating muscle mass or lean body mass: computed tomography (CT), magnetic resonance imaging (MRI) and dual energy X-ray absorptiometry (DXA). CT and MRI are the most accurate methods that can distinguish between fat tissue and other soft tissues (firstly muscular), DXA, on the other hands, is an attractive alternative method to distinguish fat, bone mineral and lean tissues⁷⁴. Thus, CT appears to be the best instrument, neither expensive nor time-consuming, for specific detection of sarcopenia because it is an accurate, fully available and well-recognized approach for the quantification of skeletal muscle mass, with a reported precision error of about 1.3% for lean muscle mass^{75,76}.

As in previous studies, we used cross-sectional CT, at the L3 level, to evaluate skeletal muscle and abdominal adipose tissue. We calculated a skeletal muscle area including Psoas, Paraspinal muscles (Erector Spinae, Multifidus and Quadratus Lumborum) and abdominal wall muscles (Transversus abdominis, external and internal Obliques and Rectus abdominis).

Conclusions

The stratification of surgical risk remains one of the great challenges for the surgeon, especially when evaluating oncological patients.

For this purpose, it appears fundamental to consider, side by side with the traditional prognostic factors related to the oncological disease

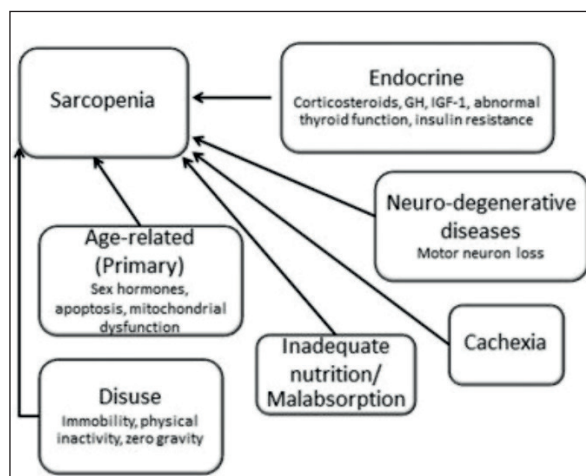


Figure 4. Pathogenesis of sarcopenia⁷².

and to the patient's comorbidity, the nutritional factors as well, that seem mandatory to be evaluated, and possibly treated, before surgery as claimed by the results of our study and by the evidences proposed by literature.

Within these factors, sarcopenia has a central role because it is a very common condition found in pancreatic cancer patients and because there are growing evidences showing that sarcopenia is associated with worse surgical outcomes, survival and chemotherapy³⁰.

Summing up we can state that evaluating and remediating sarcopenia in cancer patients, with a more proactive approach, could improve the postoperative outcomes, overall survival rates and, nevertheless, the recurrence free survival ones.

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Conflict of Interest

Pessia Beatrice and other co-authors have no conflict of interest.

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